



Walter S. Robertson

Title: Member

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Walt Robertson is a member of the Business & Corporate Services Group. He represents clients in all matters of business including commercial lending, mergers and acquisitions, corporate finance, securities regulation and equine law.

# CAPABILITIES

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## Practice Areas

- Business Services
- Corporate Finance & Securities Offerings
- Corporate General Services
- Data Center Service Group
- Entrepreneurial Services
- Equine Practice
- Financial Institutions
- Gaming
- Hemp and Medical Cannabis
- Mergers & Acquisitions
- Public Private Partnerships (P3)
- Sports & Entertainment

## RECENT ASSIGNMENTS

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- Serves as counsel to multiple banks and lending institutions in asset-backed financing transactions.
- Serves as counsel to industry leader in Thoroughbred racing partnerships.
- Serves as counsel to start-ups and other early-stage companies in capital raising and other corporate organizational matters.
- Represented the seller in the sale of assets of a regional food service business.
- Represented the buyer in the purchase of assets of an international agricultural products business.
- Represented the seller in the sale of assets of a nationally recognized wood turning business.
- Represented buyers and sellers in the purchase, sale and syndication of Thoroughbred stallions that have won multiple Kentucky Derbies, Preakness Stakes, the Belmont Stakes, Breeders' Cup races, the Dubai World Cup and other Grade I races.
- Represented the buyer in the acquisition of a specialty alloy fabrication and equipment intergration services business.
- Represented the buyer in the acquisition of a systems integration business.
- Represented the buyer in several accounting firm acquisitions and mergers.
- Represented the seller in the sale of a commercial security, fire and life safety business.
- Represented the seller in the sale of a software business.
- Represented the buyer in the purchase of a pharmacy business.
- Represented the seller in the sale of a manufacturing business.
- Represented the seller in the sale of a construction services business.
- Represented the founder in the restructure of a medical services business.

## BAR ADMISSIONS

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- Kentucky

## RECENT NEWS, ARTICLES & SPEAKING ENGAGEMENTS

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- UPDATE: FinCEN and Treasury Department Announce They Will Not Enforce CTA Despite March Deadline *with Edward H. Burrell III , William M. Joseph (Bill) , Rachel Owsley , and Alison M. Zeitlin* Stites & Harbison Client Alert, March 6, 2025
- UPDATE: Corporate Transparency Act Back on as Potential Changes Loom *with Edward H. Burrell III , William M. Joseph (Bill) , Rachel Owsley , and Alison M. Zeitlin* Stites & Harbison Client Alert, February 20, 2025
- Future of the Corporate Transparency Act is Uncertain *with Edward H. Burrell III , William M. Joseph (Bill) , Rachel Owsley , and Alison M. Zeitlin* Stites & Harbison Client Alert, December 5, 2024
- New Directors Elected to Thoroughbred Aftercare Alliance Board  
by staff writer, *Thoroughbred Aftercare Alliance Newsletter*, December 20, 2023
- Group Ownership Issues in the Equine Industry  
Speaker, 37th Annual National Conference on Equine Law, Lexington, KY, May 3-4, 2023
- Brass Tacks: Legal Services  
Global Entrepreneurship Week 2019, Lexington, Kentucky, November 18, 2019
- Equine Sales and Use Tax  
UK Equine Law Seminar, Lexington, Kentucky, May 3, 2018
- Chapter: Business Combinations: Non-Tax Considerations  
**Kentucky Corporation Law**, 3d ed. (2018)
- Issues For International Sale of Goods  
UK Equine Law Seminar, (presentation and paper), Lexington, Kentucky, May 4, 2017
- Graduate and Professional Education  
2017 Equine Summit: Developing Tomorrow's Equine Workforce, University of Kentucky AG Equine Program, Lexington, Kentucky, April 26, 2017

- 2016 Equine Law Update  
Horsing Around Equine Update, Kentucky Society of CPAs, Lexington, Kentucky, October 19, 2016
- Legal issues applicable to equine transactions  
Horsing Around Equine Update, Kentucky Society of CPAs, November 4, 2015
- Securities Laws Issues Applicable to Horse Racing Syndicates  
15th Annual Saratoga Institute on Equine, Racing & Gaming Law Conference, Saratoga Springs, New York, August 11, 2015
- The Nexus between Securities Law and Equine Law Practices  
28th Annual National Conference on Equine Law, Lexington, Kentucky, May 1, 2013
- Negotiation Tactics: How to Negotiate So You Don't Lose Your Shirt at the Races  
University-Industry Demonstration Partnership (UIDP) Annual Meeting, Lexington, Kentucky, October 2012
- The Thoroughbred Auction Process from Start to Finish  
26th Annual National Conference on Equine Law, Lexington, Kentucky May 4, 2011
- The Essential Elements of an Equine Sales Contract—From A to Z  
The 24th Annual National Conference on Equine Law, Lexington, Kentucky, 2009
- A Day in the Life—Who Are Our Clients and What Legal Issues Arise Every Day for Them  
The 22nd Annual National Conference on Equine Law, Lexington, Ky., 2007

## FIRM LEADERSHIP

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- Opinions Committee, Chair
- Audit Letter Response Committee, Member
- Business Acceptance Committee, Member
- Lexington Office Recruiting Committee, Member
- Escrow Committee, Chair
- Management Committee, Member (2018-21)

## MEMBERSHIPS

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- American Bar Association
- Kentucky Bar Association , Equine Law Section, Chair
- Fayette County Bar Association
- Thoroughbred Club of America

## COMMUNITY INVOLVEMENT

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- Kentucky Horse Park Foundation, Board of Directors
- Thoroughbred Aftercare Alliance, President; Board of Directors (2025-present); Executive Committee, Secretary (2018-22)
- University of Kentucky National Conference on Equine Law, Planning Committee
- Leadership Kentucky, Class of 2015

## EDUCATION

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University of Kentucky College of Law J.D. 2006

University of Kentucky B.B.A., Finance Minor in History 2001

## MORE THAN STITES & HARBISON

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Walt has a lifetime of experience in multiple breeds of the equine industry. Prior to practicing law, he worked as a bloodstock agent for a leading commercial agency specializing in the sale of Thoroughbred horses at public auction and as a bid spotter and announcer for several horse auction companies. During college, he worked on a Thoroughbred horse farm in New Zealand.

Walt is an Adjunct Professor at the University of Kentucky where he teaches Equine Law in the College of Agriculture.

## ACCOLADES

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- *Best Lawyers in America*®, Banking & Finance Law; Commercial Finance Law; Corporate Law; and Mergers & Acquisitions Law (2018-25)
- *Best Lawyers in America*®, Lexington Area Lawyer of the Year for Banking and Finance Law (2023)
- *Kentucky Super Lawyers*®, Rising Star (2015-18)